

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors: S. SHIEH, et al.

Application No.: New Patent Application

Filed: March 12, 2004

For: METHOD FOR PRODUCING WHITE LIGHT EMISSION BY
MEANS OF SECONDARY LIGHT EXCITATION AND ITS
PRODUCT

INFORMATION DISCLOSURE STATEMENT

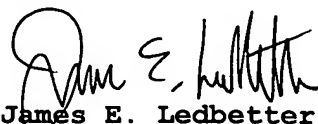
Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449. US '440 and US '670 are discussed in the background section of the application at page 2, line 6 et seq.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter
Registration No. 28,732

Date: March 12, 2004

JEL/apg
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FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

L9079.04102

SERIAL NO.

Unassigned

APPLICANT

S. SHIEH, et al.

FILING DATE

March 12, 2004

GROUP

Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	4 2 9 8 8 2 0	11/1981	Bongers et al.			
	4 6 6 1 4 1 9	04/1987	Nakamura			
	4 7 2 7 2 8 3	02/1988	van Kemenade et al.			
	5 1 9 8 6 7 9	03/1993	Katoh et al.			
	5 2 0 2 7 7 7	04/1993	Sluzky et al.			
	5 7 9 8 5 3 7	08/1998	Nitta			
	5 8 4 7 5 0 7	12/1998	Butterworth et al.			
	6 0 6 6 8 6 1	05/2000	Hohn et al.			
	6 0 6 9 4 4 0	05/2000	Shimizu et al.			
	6 2 5 5 6 7 0	07/2001	Srivastava et al.			
	6 5 0 1 1 0 0	12/2002	Srivastava et al.			
	6 5 2 2 0 6 5	02/2003	Srivastava et al.			
	6 6 0 3 2 5 8	12/2003	Mueller-Mach et al.			
	6 6 1 4 1 7 9	09/2003	Shimizu et al.			
	6 6 2 1 2 1 1	09/2003	Srivastava et al.			
	6 6 8 5 8 5 2	02/2004	Setlur et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.